

COMPUTED INTENSITY PROFILES OF DISLOCATION RIBBONS

by

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(Introduced by Prof. N. Economou)

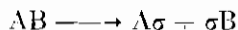
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Abstract. *The observed width of a dislocation ribbon in electron microscopy is expected to be different from the true separation of its partial dislocations due to image shift of dislocations relative to their core positions. Calculations of intensity profiles of dislocation ribbons are performed in order to investigate the effect of this phenomenon on the stacking fault energy calculations using the ribbon width method:*

The results show that in the case of SiTe_2 the observed ribbon widths are about 1% greater than the true ones and this effect is well within the experimental errors to affect the estimated value of the stacking fault energy.

1. INTRODUCTION

Theoretical calculations of dislocation intensity profiles using the dynamical theory of electron diffraction including absorption showed a shift of the dislocation peak intensity from the true dislocation core position¹. This shift depends on the dislocation character i.e the angle (α) between its dislocation line direction and its Burgers vector as well as on its depth in the crystal and the imaging conditions. Moreover, a perfect dislocation lying in the main glide plane of a close-packed structure can dissociate into a ribbon of two parallel partial dislocations bounding a stacking fault according to the scheme



where AB leads from one atom position to the next equivalent one in a close-packed plane, Fig. (1). Perfect dislocations have Burgers vectors AB, AC, BC; partials $A\sigma$, $B\sigma$, $C\sigma$:

It is seen from Fig. (1) that the Burgers vectors of partials make an angle of 60°. The shift of each partial relative to its core will be different in each case thus affecting the observed ribbon width.

In the present work this effect is investigated in order to decide for the error introduced in the calculated value of the stacking fault energy based on ribbon width measurements. The results can be applied to any cubic or hexagonal close packed structure. However, the experimental conditions are chosen to fit SiTe_3 crystal which is a hexagonal layered-structure.

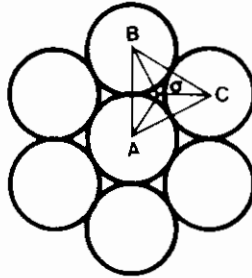


Fig. 1. Burgers vector notation.

2. TWO-BEAM DYNAMICAL EQUATIONS OF ELECTRON DIFFRACTION

For distorted crystals i.e crystals containing a defect such as a dislocation, the two-beam dynamical equations² of electron diffraction, based on the column approximation and including absorption, are a pair of complex first-order differential equations of the form:

$$\begin{aligned} dT/dZ &= -NT + (i - A) S \\ dS/dZ &= (i - A)T + [-N + 2iw + 2i \frac{d}{dZ} (\bar{g} \cdot \bar{R})] S \end{aligned} \quad (1)$$

Where T and S are the amplitudes of the electron waves in the directions of the incident and diffracted beams. The parameters N and A are the normal and the anomalous absorption coefficients, while w is the deviation parameter from the exact Bragg reflecting conditions. The vectors \bar{g} and \bar{R} are the reflecting vector and the displacement vector of the defect respectively:

The real variable z in the vertical down direction parallel to electron beam has been changed to $Z = \frac{z}{\xi_g/\pi}$ for computational purposes i.e the unit of length is $\frac{\xi_g}{\pi}$, where ξ_g is the two beam extinction distance.

The displacement vector \bar{R} of a dislocation in isotropic crystals is given by

$$\bar{R} = \frac{1}{2\pi} \left\{ \bar{b} \varphi + \bar{b}_e \frac{\sin 2\varphi}{4(1-\nu)} + \bar{b} \wedge \bar{u} \left[\frac{1-2\nu}{2(1-\nu)} \ln r + \frac{\cos 2\varphi}{4(1-\nu)} \right] \right\} \quad (11)$$

where \bar{b} , \bar{b}_e are the Burgers vector and its edge component respectively; the angle φ is indicated in Fig. (2) as φ_1 or φ_2 ; ν is the Poisson ratio and \bar{u} is a unit vector in the positive sense of the dislocation.

3. PROGRAM GEOMETRY

The column approximation is illustrated in Fig. (2) for a crystal of thickness t . The two partial dislocations lying at depth y are perpendicular to the page at points O_1 and O_2 , and parallel to the crystal surfaces:

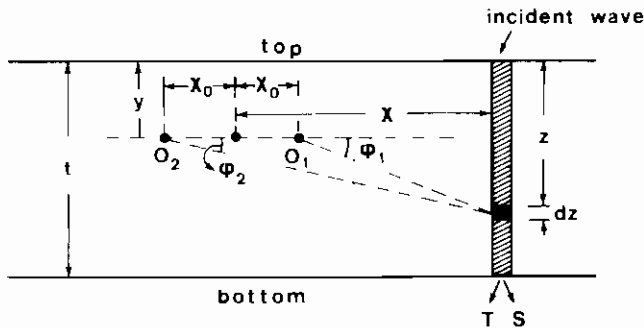


Fig. 2. The column approximation.

Both dislocations cause a displacement of an atom from its true position in the shaded slab of the column. The total resulting displacement is

$$\bar{R}_T = \bar{R}_1 + \bar{R}_2$$

The angles φ_1 and φ_2 can be expressed as functions of the coordinates x , y , z . The differential equations (I) are integrated numerically starting with $T = 1$ and $S = 0$ at $Z = 0$ (top surface of the crystal foil) down a column. The integration procedure uses the fourth-order Runge-Kutta method as modified by Merson³ for estimating the truncation error of the integration and automatically choosing the optimum integration step size to satisfy a preassigned accuracy. At the exit sur-

face of the foil TT* and SS* give the intensity of a point in the bright field and dark field image profile respectively. The column is shifted to the right and the procedure is repeated.

4. EXPERIMENTAL CONDITIONS

The main glide plane coincides with the basal plane in crystals of h.c.p structure and it is also the cleavage plane in layered structures, like SiTe₂. A dislocation ribbon lying in the basal plane with its total Burgers vector \bar{b} in this plane will satisfy the condition $\bar{g} \cdot \bar{b} \wedge \bar{u} = 0$ when \bar{g} is parallel to the foil plane i.e the crystal is untilted. This condition is satisfied in the present experiments and it reduces considerably the displacement vector eliminating the third term in the expression (II).

Moreover, the hexagonal crystals of layered structures are anisotropic and only the basal plane is isotropic⁴. This isotropicity allows one to take anisotropy into account for a dislocation lying in the basal plane and apply the results of the isotropic elasticity theory i.e to use equation (II), where the value of ν is given by $\nu = 1 - \frac{K_s}{K_o}$

and $K_s = (C_{44}C_{66})^{1/2}$

$$K_o = (\bar{C}_{11} - C_{13}) \left[\frac{C_{44} (C_{11} - C_{13})}{C_{33} (\bar{C}_{11} + C_{12} + 2C_{44})} \right]^{1/2}$$

$$\bar{C}_{11} = (C_{11}C_{33})^{1/2}$$

The C_{ij} are the elastic constants of the material. Unfortunately, these elastic constants are unknown for SiTe₂ and an effective value of $\nu = 0.43$ was determined⁵ using the procedure of Amelinckx⁶.

The Poisson ratio takes the value of $\nu = \frac{1}{3}$ in isotropic crystals.

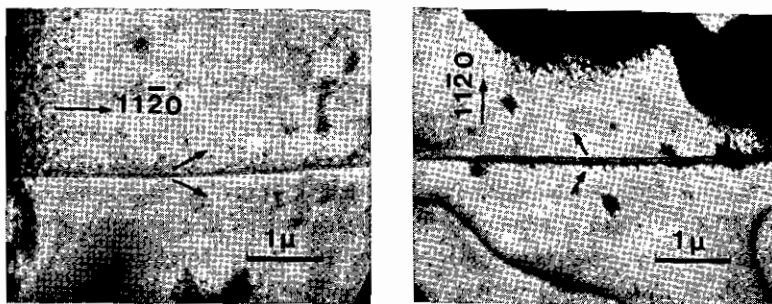


Fig. 3a, b. Experimental micrographs of different segments of a dislocation ribbon. Note the different widths in a) $a = 0^\circ$; in b) $a = 90^\circ$.

Fig. (3a,b) shows different segments of the same dislocation ribbon with both partials visible in SiTe_2 . The reflecting vector and the Burgers vectors of the partials are also indicated: In Fig (3b) the total Burgers vector of the ribbon and its line direction enclose an angle of $\alpha=90^\circ$, while in Fig. (3a) $\alpha = 0^\circ$.

The calculated extinction distance of the operating reflection in Fig. (3a,b) is $\xi_g = 110 \text{ \AA}$ and the deviation parameter is $w = 0.3$.

5. RESULTS AND DISCUSSION

The intensity distribution of the experimental micrographs in Fig. (3) is seen in Fig. (4): These curves are obtained from a microdensitometer.

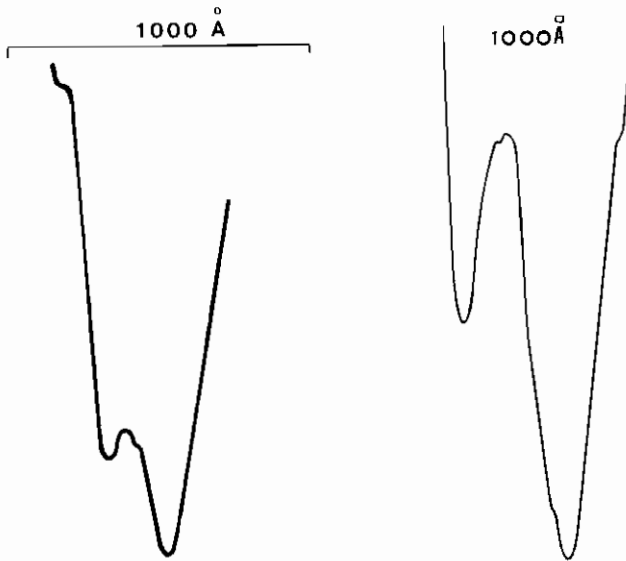


Fig. 4a, b. Microdensitometer traces corresponding to the experimental micrographs.

The calculated intensity profiles are shown in Fig. (5). The position of the partial dislocation cores are marked D. It is concluded that the ribbon width as determined by the calculated peak intensities is greater than the true width given by the core positions about 1% in all cases:

Thus, the non-coincidence of the true and the observed width is well within the experimental errors and will not affect the measured stacking fault energy of SiTe_2 by the ribbon width method.

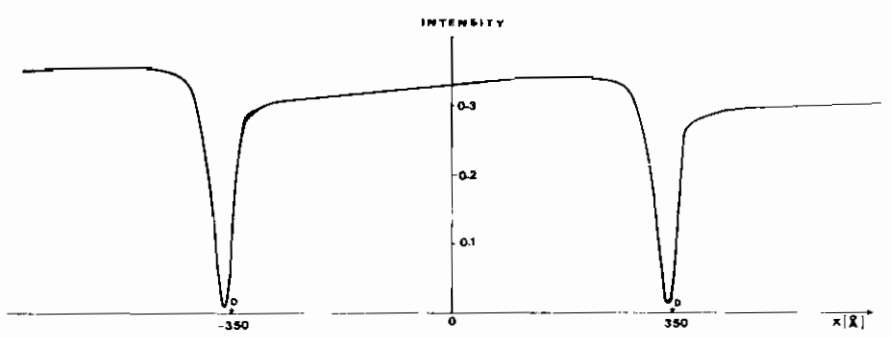
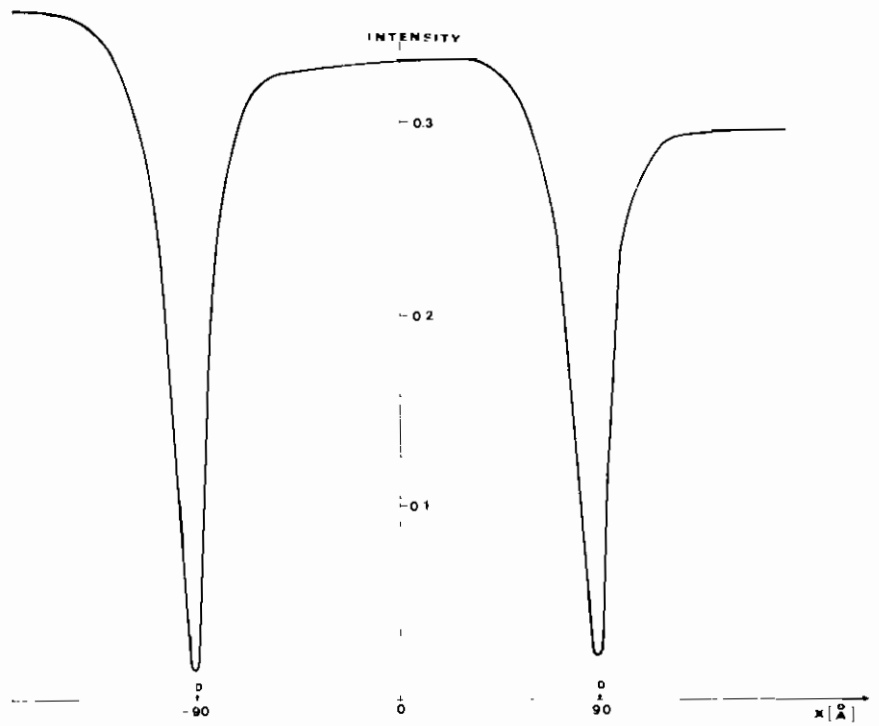


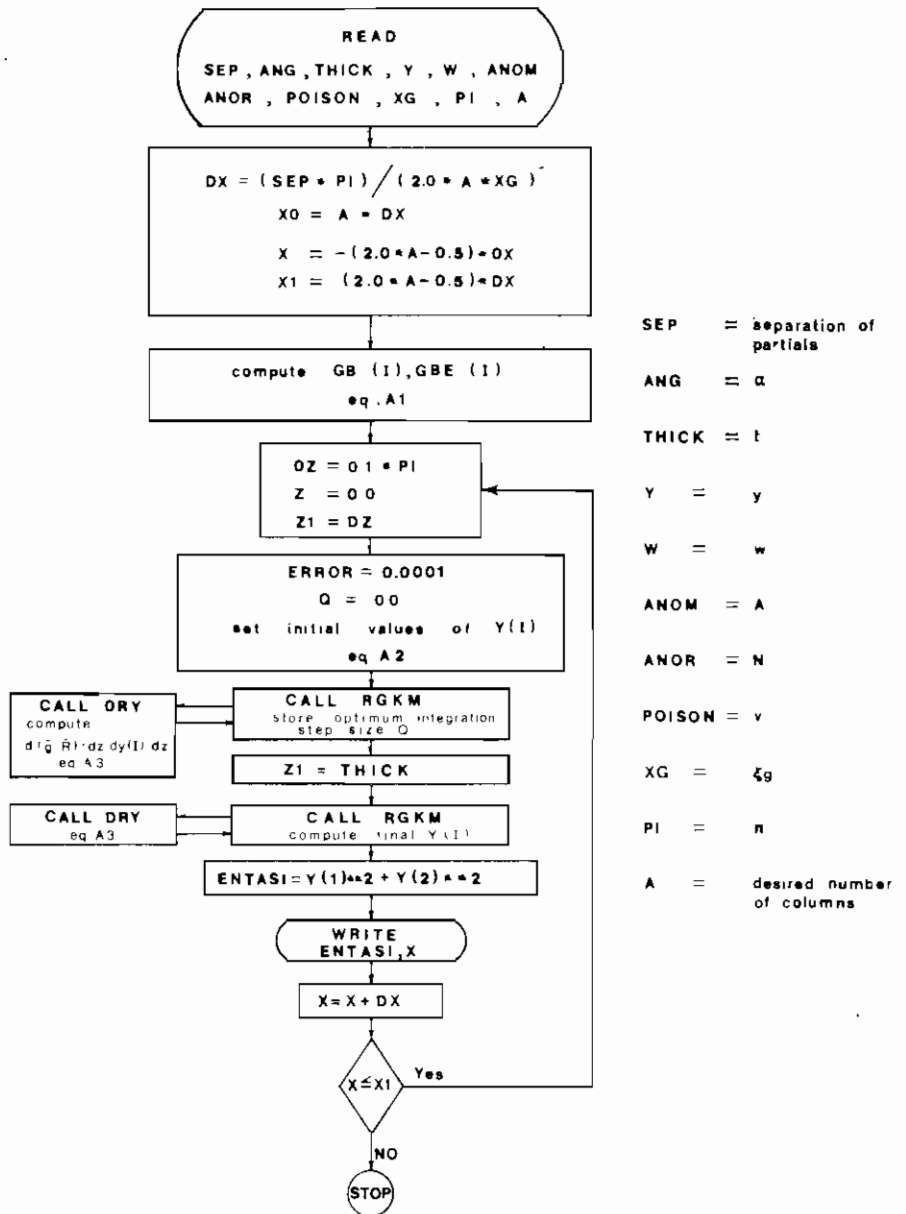
Fig. 5a, b. Computed bright-field intensity profiles corresponding to the experimental micrographs; $t = 8\xi_g$, $w = 0.3$ and $y = 4\xi_g$.

ACKNOWLEDGMENTS

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Flow chart of a program to determine intensity profiles of a dislocation ribbon.

$$\begin{aligned}
 \text{A 1} \quad & \left\{ \begin{aligned}
 \text{GB (1)} &= \text{gb}_1 = 1 \\
 \text{GB (2)} &= \text{gb}_2 = 1 \\
 \\
 \text{GBE (1)} &= \frac{\text{gbe}_1}{2(1-\nu)} = \frac{\sqrt{3}}{3(1-\nu)} \cdot \sin \alpha \sin \left(\alpha - \frac{\pi}{6} \right) \\
 \\
 \text{GBE (2)} &= \frac{\text{gbe}_2}{2(1-\nu)} = \frac{\sqrt{3}}{3(1-\nu)} \cdot \sin \alpha \sin \left(\alpha + \frac{\pi}{6} \right)
 \end{aligned} \right.
 \end{aligned}$$

$$\text{A 2} \quad \left\{ \begin{aligned}
 \text{Y (1)} &= \text{Re T} = 1 \\
 \text{Y (2)} &= \text{Im T} = 0 \\
 \text{Y (3)} &= \text{Re S} = 0 \\
 \text{Y (4)} &= \text{Im S} = 0
 \end{aligned} \right.$$

$$\begin{aligned}
 \text{A 3} \quad & \left\{ \begin{aligned}
 \frac{d(\bar{g} \cdot \bar{R})}{dZ} &= \frac{1}{2\pi} \int \left[\left(\bar{g} \cdot \bar{b}_1 + \frac{\bar{g} \cdot \bar{b}e_1}{2(1-\nu)} \cdot \frac{x_1^2 - (z-y)^2}{x_1^2 + (z-y)^2} \right) \cdot \frac{x_1}{x_1^2 + (z-y)^2} \right] \\
 \\
 \text{where} \quad & x_1 = x - x_0 \quad , \quad x_2 = x + x_0 \\
 \\
 dY(1)/dZ &= -A [Y(1) + Y(3)] - Y(4) \\
 dY(2)/dZ &= -A [Y(2) + Y(4)] + Y(3) \\
 dY(3)/dZ &= -A [Y(1) + Y(3)] - Y(2) - B \cdot Y(4) \\
 dY(4)/dZ &= -A [Y(2) + Y(4)] + Y(1) + B \cdot Y(3) \\
 \\
 \text{where} \quad & B = 2w + 2\pi \cdot \frac{d(\bar{g} \cdot \bar{R})}{dZ}
 \end{aligned} \right.
 \end{aligned}$$

Equations referred to the program flow chart.

ΠΕΡΙΛΗΨΙΣ

ΥΠΟΛΟΓΙΣΜΟΣ ΤΗΣ ΚΑΜΠΥΛΗΣ ΚΑΤΑΝΟΜΗΣ ΤΗΣ ΕΝΤΑΣΗΣ ΗΛΕΚΤΡΟΝΙΚΗΣ ΔΕΣΜΗΣ ΑΠΟ ΜΙΑ ΤΑΙΝΙΑ ΕΞΑΡΜΟΣΗΣ

Υπό

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Η θεωρία τής διάθλασης τῶν ἠλεκτρονίων ἀπὸ ἓνα κρύσταλλο πού περιέχει μιὰ γραμμικὴ ἐξάρμωση προβλέπει ὅτι ἡ κατανομὴ τῆς ἔντασης τῆς ἐξερχομένης ἀπὸ τὸν κρύσταλλο ἠλεκτρονικῆς δέσμης, ὅπως τυπώνεται στὴν φωτογραφικὴ πλάκα, εἶναι τέτοια ὥστε τὸ μέγιστο τῆς ἔντασης (παρατηρούμενη θέση τῆς ἐξάρμωσης) νὰ μὴ συμπίπτει μὲ τὴν γεωμετρικὴ προβολὴ τῆς ἐξάρμωσης στὴν φωτογραφικὴ πλάκα. Τὸ φαινόμενο αὐτὸ τῆς μετατόπισης ἐξαρτᾶται ἀπὸ τὰ χαρακτηριστικὰ τῆς ἐξάρμωσης καὶ στὴν περίπτωση μιᾶς ταινίας ἐξάρμωσης πού εἶναι περιοχὴ μὲ σφάλμα ἐπιστοίβασης περατουμένη ἀπὸ δύο γραμμικὲς ἐξαρμοσέεις μὲ διαφορετικὰ χαρακτηριστικὰ, ἀναμένεται, ὅτι τὸ πειραματικὰ παρατηρούμενο πλάτος τῆς ταινίας, ὅπως προκύπτει ἀπὸ τὴν ἀπόσταση τῶν εἰκόνων τῶν δύο ἐξαρμοσέων στὴν φωτογραφικὴ πλάκα, δὲν θὰ συμπίπτει μὲ τὸ πραγματικὸ πλάτος τῆς πού εἶναι ἄγνωστο. Ὁ θεωρητικὸς ὑπολογισμὸς τῆς καμπύλης κατανομῆς τῆς ἔντασης, μὲ τίς αὐτὲς συνθήκες περίθλασης ὅπως εἶναι πειραματικὰ μὲ κρύσταλλο SiTe_2 , εἰδείξε ὅτι τὸ παρατηρούμενο πλάτος τῆς ταινίας εἶναι μεγαλύτερο κατὰ 1% τῆς πραγματικῆς ἀπόστασης τῶν ἐξαρμοσέων. Αὐτὸ σημαίνει ὅτι ἡ ὑπολογιζομένη ἐνέργεια τῶν σφαλμάτων ἐπιστοίβασης στὸν κρύσταλλο SiTe_2 πρέπει νὰ αὐξηθεῖ κατὰ 1% πρᾶγμα πού βρίσκεται μέσα στὰ ὄρια τῶν σφαλμάτων μετρήσεων.